Funding Agreement
between the
Commonwealth of Australia
as represented by the
Australian Research Council
and
<<Administering Organisation>>
regarding funding for
*Future Fellowships*
to commence in
2014
# Table of Clauses

<table>
<thead>
<tr>
<th>Parties &amp; Recitals</th>
<th>4</th>
</tr>
</thead>
<tbody>
<tr>
<td>1. Definitions</td>
<td>4</td>
</tr>
<tr>
<td>2. Interpretation</td>
<td>6</td>
</tr>
<tr>
<td>3. Entire Agreement and Variation</td>
<td>7</td>
</tr>
<tr>
<td>4. Term of Agreement and Funding Period</td>
<td>8</td>
</tr>
<tr>
<td>5. Payment of Funding</td>
<td>8</td>
</tr>
<tr>
<td>6. Accuracy of Information</td>
<td>9</td>
</tr>
<tr>
<td>7. Use of the Funding: Activities, Facilities and Types of Work</td>
<td>10</td>
</tr>
<tr>
<td>8. Use of the Funding: Provision of Salaries</td>
<td>11</td>
</tr>
<tr>
<td>9. Use of the Funding: Relocation Expenses</td>
<td>11</td>
</tr>
<tr>
<td>10. Conditions of Employment of Future Fellows</td>
<td>11</td>
</tr>
<tr>
<td>11. Over-expenditure by the Administering Organisation</td>
<td>13</td>
</tr>
<tr>
<td>12. Commencement of the Project</td>
<td>14</td>
</tr>
<tr>
<td>13. Deferment of Commencement of the Project</td>
<td>14</td>
</tr>
<tr>
<td>14. Suspension of a Project</td>
<td>14</td>
</tr>
<tr>
<td>15. Future Fellows</td>
<td>14</td>
</tr>
<tr>
<td>16. Relinquishment of a Project</td>
<td>15</td>
</tr>
<tr>
<td>17. Transfer of a Project</td>
<td>15</td>
</tr>
<tr>
<td>18. Negation of Employment by the Commonwealth</td>
<td>17</td>
</tr>
<tr>
<td>19. Conduct of Research</td>
<td>17</td>
</tr>
<tr>
<td>20. Material Produced Under this Agreement, Publication and Dissemination of Research Outputs</td>
<td>17</td>
</tr>
<tr>
<td>21. ARC Assessments</td>
<td>18</td>
</tr>
<tr>
<td>22. Assets</td>
<td>18</td>
</tr>
<tr>
<td>23. Intellectual Property</td>
<td>19</td>
</tr>
<tr>
<td>24. Protection of Personal Information</td>
<td>19</td>
</tr>
<tr>
<td>25. Confidentiality</td>
<td>20</td>
</tr>
<tr>
<td>26. Acknowledgments, Publications and Publicity</td>
<td>21</td>
</tr>
<tr>
<td>27. Administration of the Funding</td>
<td>21</td>
</tr>
<tr>
<td>28. Audit and Monitoring</td>
<td>21</td>
</tr>
<tr>
<td>29. Access to Premises and Records</td>
<td>22</td>
</tr>
<tr>
<td>30. Reporting Requirements</td>
<td>23</td>
</tr>
</tbody>
</table>
31. Australian Research Integrity Committee 24
32. Copyright in Proposals and Reports 24
33. Recovery of Unspent Funds or Overpayments of Funds 25
34. Indemnity 25
35. Insurance 25
36. Dispute Resolution 25
37. Termination of the Agreement 26
38. Compliance with Law 27
39. Research special conditions 28
40. Liaison 29
41. Applicable Law 29

SCHEDULE A 30
SCHEDULE B 31
Parties & Recitals

THIS AGREEMENT is made on the ______ day of ____________________ 20___

between the

COMMONWEALTH OF AUSTRALIA (‘the Commonwealth’), as represented by and acting through the Australian Research Council (‘the ARC’) [ABN 35 201 451 156]

and

<< Administering Organisation >> (‘the Administering Organisation’).

WHEREAS:

A. The Commonwealth through the ARC operates the Future Fellowships scheme (‘the Scheme’);

B. The Commonwealth accepts that the Administering Organisation is an eligible body for the purposes of the Scheme, and the Commonwealth may provide financial assistance to support the Administering Organisation to conduct the Projects, including the approved Funding elements, being those described in Schedule A;

C. The Commonwealth is required by law to ensure the accountability of Funding and, accordingly, the Administering Organisation is required to be accountable for all Commonwealth Funding it receives under this Agreement; and

D. The Commonwealth wishes to provide Funding under the Scheme to the Administering Organisation for the purposes, and subject to the terms and conditions, set out in this Agreement.

IT IS HEREBY AGREED as follows:

1. Definitions

In this Agreement, unless the contrary intention appears:

ABN has the meaning as given in section 41 of the A New Tax System (Australian Business Number) Act 1999.

Administering Organisation means an Eligible Organisation which submits a Proposal for funding under the Future Fellowships scheme and which will be responsible for the administration of the Funding if the Project is approved for funding.

ARC means the Australian Research Council, as established under the ARC Act.


ARC Fellowship means a named Fellowship position within any ARC scheme where the salary is funded wholly or partly by the ARC.

ARC website is www.arc.gov.au.

Asset includes personal, real or incorporeal property, but shall not include intellectual property.
Chief Executive Officer or CEO means the occupant of the position from time to time of the Chief Executive Officer of the ARC, or the delegate, as established under the ARC Act.

Commonwealth means the Commonwealth of Australia.

Confidential Information means any information which the parties agree is confidential or that is by its nature confidential.

Conflict of Interest means any conflict of interest, any risk of a conflict of interest and any apparent conflict of interest arising through a party engaging in any activity, participating in any association, holding any membership or obtaining any interest that is likely to conflict with or restrict that party participating in the Project.

Department means the Commonwealth Department of Education.

Eligible Organisation means an organisation listed in Appendix A of the Future Fellowships Funding Rules.

End of Year Report means the report described in clause 30.2.

Field Research means the collection of information integral to the project outside of a laboratory, library or workplace setting and often in a location external to the researcher’s normal place of employment.

Final Report means the report described in clause 30.4.

FTE means full-time equivalent.

Funding Agreement or the Agreement means this document.

Funding or Funds means the amount or amounts payable under this Agreement for each Project as specified in Schedule A and includes amounts for salary and, where appropriate, non-salary Funding.

Funding Period means the approved period set out in Schedule A for that Project, or as otherwise approved in writing by the ARC.

Funding Rules means the Future Fellowships Funding Rules for funding commencing in 2014.

Future Fellow means a researcher who receives Funding under the Future Fellowships scheme.

Future Fellowship means an individual award which has been awarded under the Future Fellowships scheme to an eligible researcher named in Schedule A, and includes a component of salary and non-salary Funding.

GST has the meaning as given in section 195-1 of the A New Tax System (Goods and Services Tax) Act 1999.
Host Organisation means an organisation, other than the Administering Organisation, at which the Future Fellow undertakes her/his research.

Intellectual Property includes all copyright and neighbouring rights, all rights in relation to inventions (including patent rights), plant varieties, registered and unregistered trademarks (including service marks), registered designs, Confidential Information (including trade secrets and know-how and circuit layouts), and all other rights resulting from intellectual activity in the industrial, scientific, literary or artistic fields.

Material includes documents, equipment, software, goods, information and data stored by any means.

Minister means the Minister responsible for the administration of the ARC Act, or the Minister’s delegate.

NHMRC means the National Health and Medical Research Council.

Privacy Commissioner means the person occupying the position of Privacy Commissioner from time to time pursuant to the Privacy Act 1988.

Progress Report means the report described in clause 30.3.

Project means a Proposal approved by the Minister to receive funding from the ARC in accordance with the Funding Rules.

Proposal means a request to the ARC for the provision of funding which is submitted in accordance with the Funding Rules.

Recipient Created Tax Invoice means a tax invoice that is issued by the recipient of the goods and/or services rather than the supplier.

Research Office means a business unit within an Eligible Organisation that is responsible for administrative contact with the ARC regarding Proposals and Projects.

Responsible Officer means the Vice-Chancellor or other corporate head of the Administering Organisation or an officer nominated by her/him.

RMS means the ARC’s online Research Management System.

Scheme has the meaning given in Parties and Recitals.

Special Condition means a special condition specified in the Agreement which governs the use of the Funding provided by the ARC.

UA means Universities Australia.

2. Interpretation

2.1 In this Agreement, unless the contrary intention appears:

(a) words in the singular number include the plural and words in the plural number include the singular;

(b) words importing a gender include any other gender;
2.2 This Agreement is subject to the ARC Act. If there is any conflict between this Agreement and the ARC Act, then the ARC Act prevails to the extent of any inconsistency.

3. Entire Agreement and Variation

3.1 This Agreement, including Schedules, the Proposal for each Future Fellowship and the Funding Rules constitutes the entire agreement between the parties and supersedes all communications, negotiations, arrangements and agreements, whether oral or written, between the parties with respect to the subject matter of this Agreement.

3.2 Notwithstanding clause 3.1, the Minister may at any time impose other requirements or conditions in connection with any Funding covered by this Agreement as provided for under the ARC Act. The Administering Organisation must as soon as possible or as otherwise agreed in writing with the ARC, comply (or procure compliance) with any other Ministerial conditions or requirements notified by the ARC from time to time. In the event of any inconsistency between this Agreement and any such further requirements or conditions, the Administering Organisation will not be taken to have breached this Agreement where it has acted consistently with any further requirements or conditions notified under this clause.

3.3 If any part of this Agreement conflicts with any other part, that part higher in the following list shall take precedence:

(a) the terms and conditions contained in the clauses of the Agreement;
(b) the Schedules;
(c) the Funding Rules; and
(d) the Proposal.

3.4 The Administering Organisation and the Commonwealth may agree to vary this Agreement. Other than as expressly provided for in this Agreement, any variation to this Agreement must be in writing and signed by both parties.

3.5 The Administering Organisation is required to do all things incidental or reasonably necessary to give effect to this Agreement, including procuring any third parties to do
such incidental or reasonably necessary things. This includes, but is not limited to the Administering Organisation’s securing the agreement of all parties involved in Projects to abide by the terms and conditions of this Agreement.

4. **Term of Agreement and Funding Period**

4.1 This Agreement takes effect on the date it has been executed by the Administering Organisation and the ARC and continues to operate until all parties have fulfilled their obligations under this Agreement.

4.2 Subject to clauses 4.3 and 5 of this Agreement, the period of Funding is the Funding Period unless the Funding is terminated earlier in accordance with this Agreement.

4.3 The Funding Period for any Project including any element is indicative only of the intent of the Minister at the time of making the offer of Funding and is not binding on the Commonwealth. In the event that the Minister subsequently makes a determination under section 54 of the ARC Act to vary the Funding, this Agreement will continue to apply to any Project, including any fellowships or granted financial assistance under such a determination.

5. **Payment of Funding**

5.1 Subject to the provisions of the ARC Act, the terms of this Agreement and sufficient program funding being available for the Scheme, the Commonwealth shall pay the Funds to the Administering Organisation for each Project in progressive monthly instalments in accordance with Schedule A.

5.2 All Funding for a Project is subject to the following conditions:

(a) that the Project commence in accordance with clause 12, or by any later date approved by the ARC under clause 13;

(b) that each Future Fellow listed in Schedule A lead and coordinate the Project during the Funding Period, including by having direct responsibility for the strategic decisions and the communication of results for the Project;

(c) that the Administering Organisation conduct the Project substantially in accordance with the ‘Project Description’ contained in the Proposal, or in the event of any variation to the Project, in accordance with the description, aims and research plan as otherwise approved by the ARC;

(d) that the Administering Organisation spend all Funds paid under this Agreement for each Future Fellowship substantially in accordance with the ‘Project Cost’ detailed in the Proposal for that Project and any Special Conditions, or the budget as otherwise approved by the ARC and any conditions otherwise imposed by the ARC in accordance with the ARC Act, and in accordance with the requirements of this Agreement and the Funding Rules;

(e) that the Administering Organisation only receive Funding under this Agreement to which it is properly entitled;

(f) that the Administering Organisation submit on time all reports required under this Agreement, in the form and with content satisfactory to the ARC;

(g) that progress of the Project is, in the opinion of the ARC, satisfactory;

(h) that each Future Fellow listed in Schedule A, during her/his participation in a Project, meet the criteria specified in section 7 of the Funding Rules;

(i) that each Future Fellow named in a Proposal must declare to the Administering Organisation all Conflicts of Interest, that exist or are likely to arise in relation
to any aspect of the Project, which may have the potential to influence or appear to influence the research and/or activities related to the Project;

(j) that the Administering Organisation has documented processes in place for managing Conflicts of Interest in accordance with the NHMRC/ARC/UA Australian Code for the Responsible Conduct of Research (2007);

(k) that the ARC is advised in a timely manner of any and all other Commonwealth funding which has been, is being, or is intended to be provided for the research and/or activities funded under this Agreement;

(l) that there is no duplication of Commonwealth funding for the research and/or activities funded for the Project under this Agreement;

(m) that the Administering Organisation is responsible for any or all taxation implications associated with receiving the Funds; and

(n) that the Administering Organisation comply with any other requirements or conditions imposed by the ARC in connection with any Funding covered by this Agreement.

5.3 If the Administering Organisation does not meet any one or more of the conditions listed in clause 5.2 in respect of a Project, the Commonwealth may do any or all of the following:

(a) not pay the Administering Organisation any further Funds for that Project;

(b) recover all or some of the Funds paid under this Agreement for that Project, including all unspent Funds and any Funds not spent in accordance with this Agreement; and/or

(c) vary the amount of Funding approved for that Project.

5.4 The Administering Organisation must pay to the Commonwealth the amount specified in any notice received under clause 5.3(b) within 30 days of the date of that notice.

5.5 The Commonwealth will pay to the Administering Organisation, by way of financial assistance in accordance with the ARC Act, the approved amounts set out in Schedule A, which is net of any GST which may be imposed on the supply.

5.6 Where the invoice relates to a taxable supply made under this contract, the invoice must comply with the requirement for a tax invoice as defined in the GST Act.

5.7 The Commonwealth shall have the right to vary, unilaterally, the amounts for any or all Projects.

5.8 The Future Fellowship salary and other allowances, as set out in Schedule B, are applicable as at 1 July 2014. In the event that the Minister makes a determination under section 54 of the ARC Act, the Commonwealth shall have the right to unilaterally vary the salary set out in Schedule B of this Agreement.

5.9 Where the Commonwealth exercises its right under clauses 5.3, 5.7 or 5.8 above, it shall inform the Administering Organisation in writing of the variation within 30 days of that variation having been made.

6. Accuracy of Information

6.1 The provision of any Funding for a Project is conditional on all information contained in the Proposal for that Project and all reports required by this Agreement from the Administering Organisation being complete, accurate and not misleading. The Commonwealth regards inaccurate and misleading information as including, but not being limited to, claiming fictitious track records, inflating funds obtained from other
sponsors and false claims in the publication record, e.g. describing a paper as being ‘in press’ or accepted even if it has only been submitted.

6.2 If the Commonwealth considers that a Proposal for a Project or any report provided under this Agreement contains incomplete, inaccurate or misleading information, the Commonwealth may by notice in writing to the Administering Organisation do any or all of the following:

(a) not pay the Administering Organisation any further Funds for that Project;
(b) recover all or some of the Funds paid under this Agreement for that Project, including all unspent Funds and any Funds not spent in accordance with this Agreement; and/or
(c) vary the amount of Funding approved for that Project.

7. **Use of the Funding: Activities, Facilities and Types of Work**

7.1 The Administering Organisation will ensure that each Project is carried out in accordance with this Agreement in a diligent and competent manner. In addition, each Project will be conducted in accordance with the ‘Project Description’ contained in the Proposal, or any revised budget, aims and research plan which have been submitted by the Administering Organisation and approved by the ARC.

7.2 The Administering Organisation must ensure that expenditure on each Project described in Schedule A is in accordance with the ‘Project Description’ contained in the Proposal and within the broad structure of the proposed ‘Project Cost’ detailed in the Proposal or any revised budget, aims and research plan submitted by the Administering Organisation which has been approved by the ARC.

7.3 The Administering Organisation must not use the Funding:

(a) for purposes specifically excluded in the Funding Rules;
(b) for items excluded as a Special Condition in Schedule A; or
(c) for purposes specifically excluded in this Agreement.

7.4 The Administering Organisation must ensure that the Future Fellow(s) listed in Schedule A have adequate time and capacity to carry out each Project and must provide the basic facilities, where relevant, for each Project. Refer to the Funding Rules for further information regarding supported and not supported budget items.

7.5 The Administering Organisation may not apply ‘bench fees’ or similar fixed charges to ARC funded Projects for the provision of basic facilities as outlined in the Funding Rules.

7.6 The Administering Organisation must ensure that the Future Fellow(s) listed in Schedule A have access to basic facilities (including, but not limited to, those items specified in the Funding Rules) during their time at the Host Organisation(s).

7.7 Unless otherwise approved by the ARC, the Funding must not be used to fund any research and/or activities for which other financial assistance from the Commonwealth has been, is being, or is intended to be provided.

7.8 Unless otherwise approved by the ARC, the Administering Organisation must provide the resources to undertake each Project as specified in the Proposal.

7.9 The Administering Organisation must obtain the agreement of all parties necessary to allow each Project to proceed. Evidence of agreement must be obtained from all relevant persons and organisations involved in the Project and are to be retained by the
7.10 If any other Commonwealth funding is approved for any research and/or activity which is similar to research or activities being conducted for any Project, the ARC must be notified immediately and the ARC may consider whether or not to terminate or recover Funding to the extent that it is duplicated by another Commonwealth source.

8. **Use of the Funding: Provision of Salaries**

8.1 The Funding specified in Schedule A for Future Fellowship salary must only be used for the provision of salary and on-costs for the Future Fellow.

8.2 The Future Fellowship salary must be paid according to the Future Fellows FTE status:

   (a) Full-time *Future Fellowships* must be paid 100 per cent salary plus on-costs for four years.

   (b) Part-time *Future Fellowships* are paid pro-rata plus on-costs over a period of up to eight years, subject to conditions outlined in clause 10.

8.3 Project payments can only commence once the Funding Agreement has been executed by both parties. Retrospective salary payments will not be paid for employment prior to the date the Funding Agreement is executed.

8.4 ARC salaries for Future Fellows are set out in Schedule B.

9. **Use of the Funding: Relocation Expenses**

9.1 Subject to the provision of this clause 9, the Administering Organisation may make pre-approved reimbursement payments subject to the policies of the Administering Organisation based on the approved amount requested in the original Proposal. Relocation costs must have been requested in the original Proposal submitted to the ARC and paid from the non-salary Funding provided to the Administering Organisation and will not be paid post award.

9.2 The Administering Organisation must ensure that travel expenses, where payable from the Funding, adhere to the travel and relocation policies in place at the Administering Organisation.

10. **Conditions of Employment of Future Fellows**

10.1 The Future Fellowship may be awarded on a full-time or part-time basis. The Future Fellowship may be converted to (or from) part-time at any time to enable the Future Fellow to continue to fulfil family and/or carer responsibilities, provided that the Future Fellowship does not exceed eight years from the date of commencement (excluding any approved periods of suspension and/or maternity and/or parental leave). A Future Fellowship does not have a minimum full-time equivalent (FTE), but the FTE of the Future Fellowship must match that of the Future Fellow’s employment contract. The Administering Organisation and not the ARC is to manage changes to the Future Fellow’s working hours. The Administering Organisation will notify the ARC of any changes to working hours via Progress Reporting.

10.2 Future Fellows cannot hold another fellowship either at the Administering Organisation or at another organisation. Before a Future Fellow commences the Future Fellowship, the Administering Organisation must confirm that the Future Fellow has completed or relinquished any current fellowships. This applies to all ARC Fellowships and any other fellowship held with another funding body. The ARC will allow Future Fellows to hold honorary or non-remunerated fellowships where the fellowship does not impair the capacity of the Future Fellow to complete the proposed Future Fellowship Project. It is
the responsibility of the Administering Organisation to ensure that the honorary or non-remunerated fellowship will not impair the capacity of the Future Fellow to complete the proposed Project.

10.3 Future Fellows must relinquish any fellowships or the duties of any existing appointment/positions (continuing or non-continuing) prior to commencement. Future Fellows in University and Australian Public Service sectors do not have to give up tenure but must be transferred out of their existing positions into the Future Fellowship and operate as if they are on leave without pay from their tenured position.

10.4 Unless otherwise approved by the ARC, Future Fellows cannot hold another appointment/position (continuing or non-continuing) either at the Administering Organisation or at another organisation. Future Fellows cannot carry out duties other than those permitted under the Funding Rules. For example, the Future Fellow must spend no more than 0.15 FTE of their time annually on approved teaching activities (that closely relate to their research project); and must give up all pre-existing administrative and management responsibilities and focus on research associated with the Future Fellowship. Supervision of honours and postgraduate students is not included in this limit.

10.5 The Future Fellow must be employed by the Administering Organisation for the duration of the Future Fellowship. The Administering Organisation shall recognise Future Fellows as academic staff and incorporate them fully into the activities and academic life of the Administering Organisation, but should note that limits do apply (refer to clause 10.6). The provision of salaries, recreation leave, sick leave and other conditions of employment for Future Fellows shall be those of the Administering Organisation.

10.6 Notwithstanding clauses 10.2 and 10.4, a Future Fellow may undertake research supervision in addition to performing the Future Fellowship but only where it enhances, rather than detracts from, the Project. However, a Future Fellow may not accept additional appointment(s) without the prior agreement of the Administering Organisation and the ARC.

10.7 The Administering Organisation must ensure that, during the Future Fellowship period, a Future Fellow shall be entitled to leave of absence for recreation at the rate of four weeks per annum, to be taken at any time during the Future Fellowship period by arrangement between the Future Fellow and the Administering Organisation. However, the Commonwealth will not provide additional funds to cover accrued leave proposed to be taken after the Future Fellowship period has expired or has been terminated. If a Future Fellow’s salary entitlements for recreation leave accrued during the Future Fellowship are to be funded from the Funding, the Future Fellow must take her/his recreation leave during the period of the Future Fellowship.

10.8 The Administering Organisation must ensure the Future Fellow has access to periods of up to 14 weeks paid maternity leave where such leave is taken during the course of the Future Fellowship. The ARC will provide up to 14 weeks funding for this purpose. The Administering Organisation must seek ARC approval for any extension to the life of the Project exceeding the 14 weeks paid maternity leave. The funding for this purpose is to be claimed by the Administering Organisation through submission of a Variation of Funding Agreement request.

10.9 The Administering Organisation must ensure that Future Fellows are entitled to take up to two weeks paid partner/parental leave at the time of birth or adoption to the parent who is not identified as the primary caregiver during the course of the Future Fellowship. The ARC will provide up to two weeks funding for this purpose and the Future Fellowship period will be extended for a period equivalent to the duration of the paid
partner/parental leave. The funding for this purpose is to be claimed by the Administering Organisation through submission of a Variation of Funding Agreement request.

10.10 In addition to recreation leave, as provided for in clause 10.7, maternity leave as provided for in clause 10.8, and partner/parental leave in clause 10.9, the Future Fellow may take additional leave of up to twelve months in total during the Future Fellowship using accrued leave or leave without pay providing it is in accordance with the Administering Organisation’s normal practice and written approval has been obtained from the ARC. If approved by the ARC, the Future Fellowship period will be extended for a period equivalent to the length of (full-time equivalent) additional leave taken. In exceptional circumstances, or where warranted on compassionate grounds, the ARC may approve further additional leave and the Future Fellowship period may be extended accordingly. The Funds for the Future Fellowship which would otherwise have been payable during the period when additional leave is taken will continue to be paid to the Administering Organisation during the leave period and are to be held in trust by the Administering Organisation during the leave period. Other than in clause 10.8 and 10.9 above, the ARC will not supplement the Funds to cover any additional costs incurred as a result of the leave being taken or delay in finalisation of the Project/Future Fellowship.

10.11 Subject to clause 10.12, Future Fellows must legally reside predominantly in Australia for the duration of the Future Fellowship, except where ARC approval has been granted. If the Future Fellow is not an Australian citizen or permanent resident of Australia, he/she must obtain the necessary approval before taking up the Future Fellowship.

10.12 Future Fellows may undertake research at a Host Organisation(s) that are not Eligible Organisations for periods of up to twelve months in total over the life of the Future Fellowship, providing that the Administering Organisation clearly demonstrates this is in the best interests of the research and its outcomes, and is of national benefit to Australia. Visits to overseas Organisation(s) and periods of research related fieldwork are included in the twelve month total.

10.13 Future Fellows may undertake research at a Host Organisation(s) that are also Eligible Organisations for periods of up to 24 months in total over the life of the Future Fellowship, providing that the Administering Organisation clearly demonstrates this is in the best interests of the research and its outcomes, and is of national benefit to Australia.

10.14 The Future Fellow must conduct research for a minimum of 24 months of the Future Fellowship at the Administering Organisation.

10.15 Funding for the Future Fellow includes a 28 per cent loading to cover salary-related on-costs, including payroll tax, workers compensation, leave loading, long-service leave, non-contributory and contributory superannuation, but it excludes items such as extended leave and severance pay. On-costs that exceed 28 per cent and other costs (such as costs associated with or incurred as a result of extended leave and severance pay) must be met by the Administering Organisation.

10.16 The Future Fellow cannot commence another ARC Fellowship until the entire Future Fellowship salary component has been expended.

11. Over-expenditure by the Administering Organisation

11.1 Any Project expenditure incurred by the Administering Organisation for a Project additional to the approved amount for that Project specified in Schedule A, or as otherwise varied by the ARC, is the responsibility of the Administering Organisation. The Commonwealth will not reimburse the Administering Organisation for such costs under any circumstances.
12. Commencement of the Project

12.1 Subject to clause 13, the Project, including the Future Fellowship, must commence by no later than 30 June 2015, unless the commencement has been deferred to a later date approved by the ARC.

13. Deferment of Commencement of the Project

13.1 If the Administering Organisation wishes to defer commencement of a Project, including the Fellowship, beyond 30 June 2015, a written request justifying the requested deferral in terms of special circumstances must be made to the Scheme Coordinator, through the Administering Organisation’s Research Office, by submitting a Variation of Funding Agreement request, prior to 30 June 2015.

13.2 The Administering Organisation must not defer commencement of the Project, including the Fellow, beyond 30 June 2015 unless the Administering Organisation has received written approval from the ARC.

13.3 Commencement of the Project cannot be deferred beyond 30 June 2015 to allow the completion of another Commonwealth funded fellowship.

13.4 Other than in exceptional circumstances, the ARC will not approve the commencement of a Project, including the Fellow, beyond 30 September 2015. The ARC may recover Funding for any Project which has not commenced by 30 September 2015.

14. Suspension of a Project

14.1 If a Future Fellow is not able to perform the Project for a period or periods of time the Project may be suspended for a period or periods totalling up to 12 months. The duration of a Project may be extended for a period equal to the duration of the approved suspension(s). The Funds for the Project which would otherwise have been payable during the suspension period(s) will continue to be paid to the Administering Organisation during the suspension period(s). The ARC will not supplement the Funds to cover any additional costs incurred as a result of the suspension or delay in finalisation of the Project.

14.2 If a proposed suspension is to commence after the first 12 months of the Project and is for six months or less, the Administering Organisation may, at its discretion, approve the suspension. The Administering Organisation shall advise the ARC of any approvals within three months in writing, as well as detailing the suspension in its next Progress Report or the Final Report for the Project.

14.3 If the proposed suspension is to commence within the first 12 months of the Project or is for more than six months, the Responsible Officer must submit a Variation of Funding Agreement request to the ARC to seek the ARC’s approval to suspend the Project. The ARC will approve such suspensions only if detailed written justification for the request is provided. The approval of a suspension is at the ARC’s absolute discretion. If approved, the Administering Organisation must detail the suspension in its next Progress or Final Report for the Project.

14.4 Subject to Clause 14.2, suspensions without written approval will be a breach of this Agreement and may result in the immediate termination of the Project.

15. Future Fellows

15.1 The Administering Organisation must ensure that a Future Fellow conducts the Project in a diligent and competent manner and complies with this Agreement.

15.2 The Administering Organisation shall provide each Future Fellow with a copy of this Agreement within a reasonable time after the commencement of the Funding.
15.3 The Administering Organisation warrants that it has made proper inquiries of a Future Fellow in relation to her/his eligibility to perform the Project.

15.4 The Administering Organisation must ensure that, unless otherwise approved by the ARC, each Future Fellow must meet the criteria specified in the Funding Rules for the full term of the Project.

16. Relinquishment of a Project

16.1 A Future Fellowship cannot be transferred to another person. If, at any time during the term of the Project, a Future Fellow relinquishes, or is no longer able to continue her/his Future Fellowship, Funding for the Project will be terminated. In such cases, any unspent Funding for the Project will be recovered by the ARC.

16.2 The Administering Organisation must require Future Fellows to give notice of one month to the Administering Organisation if they intend to relinquish the Future Fellowship. The Administering Organisation shall immediately advise the ARC of the relinquishment of the Project by means of submitting of a Variation of Funding Agreement request to the ARC. The End of Year Report must also contain details regarding any Future Fellow(s) that have relinquished their Project.

17. Transfer of a Project

17.1 If at any time during the term of the Project, the Administering Organisation becomes aware that a Future Fellow wishes to move organisations, the Administering Organisation must promptly notify the ARC of the proposed change in circumstances for the Future Fellow. To ensure the success of the Project the Administering Organisation must take into consideration the best interests of the Future Fellow.

17.2 If the proposed transfer of the Project is to another Eligible Organisation, the Administering Organisation may seek the ARC’s approval for the transfer of the Funding from the Administering Organisation to another Eligible Organisation (‘the recipient Eligible Organisation’) by submitting a Variation of Funding Agreement request to the ARC. The ARC shall have regard to the circumstances surrounding the proposed transfer and may approve the transfer subject to such conditions as the ARC considers appropriate. The request must provide evidence that:

(a) the following parties agree to the transfer:
   i. the Administering Organisation; and
   ii. the recipient Eligible Organisation;

(b) the recipient Eligible Organisation agrees to provide for the Project (including costs and in-kind financial support and facilities of commensurate quality) equivalent to that which would have been provided by the Administering Organisation and which satisfies the requirements of this Agreement and the Funding Rules;

(c) the transfer of the Project will not adversely impact on the research capacity developed by the Administering Organisation through the Project and that there is sufficient time available during the remainder of the Project to build world-class research capacity at the new recipient Eligible Organisation;

(d) the research already developed will not be put at risk as a result of the transfer; and

(e) the Future Fellow aligns with the current and emerging research strengths of the new recipient Eligible Organisation.
17.3 The ARC will only consider the transfer of a Project to another Eligible Organisation where the circumstances are exceptional. While each case is considered individually, acceptable scenarios would include where the current Administering Organisation believes that there is substantial change in the research environment at their institution for that type of research (e.g. disintegration of the research team, abolition of the department, or facilities becoming inoperable).

17.4 The ARC will consider requests for transfers to Eligible Organisations, on compassionate grounds including carer’s responsibilities and family circumstances. Details of the circumstances should be provided in the original request.

17.5 When the ARC receives a proposal requesting the transfer of Funding for a Project, the ARC may approve the transfer of unspent Funds and indicative Funding for the Project and any Assets as outlined in clause 22 to the recipient Eligible Organisation.

17.6 If the ARC’s approval is granted in such circumstances to transfer the Funding (and any Assets):

(a) the Administering Organisation must:
   
   i. agree to any variation or termination (as applicable) of this Agreement proposed by the ARC to give effect to the changed Funding arrangements;
   
   ii. provide to the ARC, in writing, the amount of all unspent Funds for the Project and pay the ARC such unspent Funds. The ARC may then provide the unspent Funds to the recipient Eligible Organisation;
   
   iii. report expenditure of Funding for the Project prior to the transfer in its End of Year Report and identify the transfer in the relevant field; and
   
   iv. comply with any other directions reasonably given by the ARC to give effect to the transfer.

(b) the recipient Eligible Organisation will be required to:
   
   i. enter into a new, or vary an existing, Funding Agreement with the ARC to give effect to the changed funding arrangements; and
   
   ii. report expenditure of Funding for the Project subsequent to the transfer in its End of Year Report and identify the transfer in that Report.

17.7 If the Future Fellow changes organisation and the ARC’s approval is not given for arrangements for the continuation of the Project and the continued administration of the Funding, the Project may be terminated and any unspent Funds recovered by the ARC.

17.8 If Funding for a Project which was previously administered by another Eligible Organisation is to be transferred to the Administering Organisation, the Administering Organisation must ensure that the Project which is being transferred and the Future Fellow performing the Project satisfy the eligibility and accountability requirements of the Funding Rules and terms and conditions of this Agreement.

17.9 Relocation expenses associated with the transfer will not be paid by the ARC.

17.10 The Project or any equipment purchased with the Funding must not be transferred to the recipient Eligible Organisation until the ARC’s approval for the transfer of the Funding is granted.
18. **Negation of Employment by the Commonwealth**

18.1 Future Fellows and the Administering Organisation must not represent themselves as being employees, partners or agents of the Commonwealth, or as otherwise able to bind or represent the Commonwealth.

18.2 Future Fellows and the Administering Organisation shall not by virtue of this Agreement or for any purpose be, or be deemed to be, employees, partners, or agents of the Commonwealth, or as having any power or authority to bind or represent the Commonwealth.

19. **Conduct of Research**

19.1 The Project must be conducted in accordance with any Special Conditions specified in this Agreement and with any other requirements or conditions imposed by the ARC in connection with any Funding covered by this Agreement.

19.2 The Administering Organisation must ensure that a Project under this Agreement will not proceed without appropriate ethical clearances from the relevant committees and/or authorities referred to in Schedule C or prescribed by the Administering Organisation’s research rules. Responsibility for ensuring such clearances have been obtained remains with the Administering Organisation.

19.3 Each party involved in a Project must declare to the Administering Organisation any potential Conflict of Interest which has the potential to influence, or appear to influence, the research and activities, publications and media reports, or requests for funding related to the Project.

19.4 If a Conflict of Interest exists or arises, the Administering Organisation must have documented processes in place for managing the Conflict of Interest for the duration of the Project. Such processes must comply with the NHMRC/ARC/UA Australian Code for the Responsible Conduct of Research (2007), the ARC Conflict of Interest and Confidentiality Policy and any relevant successor document.

19.5 Each Project must, unless otherwise approved by the ARC in writing, conform to the principles outlined in the following and their successor documents:

(a) NHMRC/ARC/UA Australian Code for the Responsible Conduct of Research (2007);

(b) as applicable, the NHMRC/ARC/UA National Statement on Ethical Conduct in Human Research (2007, updated 2013);

(c) as applicable, NHMRC Values and Ethics - Guidelines for Ethical Conduct in Aboriginal and Torres Strait Islander Health Research (2003);

(d) as applicable, AIATSIS Guidelines for Ethical Research in Australian Indigenous Studies (2011); and

(e) as applicable, the Australian Code for the care and use of animals for scientific purposes (2013) endorsed by the NHMRC, the ARC, the Commonwealth Scientific and Industrial Research Organisation and UA.

20. **Material Produced Under this Agreement, Publication and Dissemination of Research Outputs**

20.1 The Administering Organisation must establish and comply with its own procedures and arrangements for the ownership of all Material produced as a result of any Project funded under this Agreement.
20.2 For any Material produced under this Agreement, the Administering Organisation must ensure that Future Fellows:

(a) take reasonable care of, and safely store, any data or specimens or samples collected during, or resulting from, the conduct of their Project;

(b) make arrangements acceptable to the ARC for lodgement with an appropriate museum or archive in Australia of data or specimens or samples collected during, or resulting from their Project; and

(c) include details of the lodgement or reasons for non-lodgement in the Progress Reports and the Final Report for the Project.

20.3 The ARC will support Funding for publications and dissemination costs as per the Funding Rules.

20.4 All Proposals and ARC-funded research projects must comply with the ARC Open Access policy, which is available at www.arc.gov.au. In accordance with this policy, the ARC requires that any publications arising from a Project must be deposited into an open access institutional repository within a twelve month period from the date of publication. The ARC strongly encourages the depositing of data arising from a Project in an appropriate publicly accessible subject and/or institutional repository.

20.5 The Final Report must justify why any publications from a Project have not been deposited in an open access institutional repository within twelve months of publication. The Final Report must outline how data arising from the Project have been made publicly accessible where appropriate.

20.6 This clause survives the expiration or earlier termination of this Agreement.

21. ARC Assessments

21.1 The Administering Organisation must ensure that, for the term of this Agreement, if requested by the ARC, each Future Fellow agrees to assess up to 20 new proposals for ARC funding per annum for each year of Funding.

21.2 If the ARC determines that a Future Fellow has failed to meet the obligation to assess proposals assigned by the ARC for assessment, the ARC will notify the Administering Organisation in writing of that failure.

21.3 If a Future Fellow does not undertake assessment of the assigned proposals within a period specified by the ARC of the notice referred to in clause 21.2 above, the Administering Organisation will be considered to be in breach of this Agreement and Funding for the relevant Project (including the Future Fellowship on which the Future Fellow is listed under this Agreement), may be terminated.

22. Assets

22.1 Unless otherwise approved by the ARC, Assets purchased with Funding must be purchased for the exclusive purposes of the Project for the duration of the Funding Period.

22.2 The Administering Organisation shall establish and comply with its own procedures and arrangements for purchasing, installing, recording, maintaining and insuring all items of equipment purchased with the Funds.

22.3 The Administering Organisation shall ensure that the Future Fellow shall have first priority in the use and operation of equipment purchased for the Project and the Administering Organisation must so far as is practicable, permit persons authorised by the ARC to have priority access to that equipment in preference to other persons.
Unless otherwise approved by the ARC, the ownership of any Asset purchased wholly or partly with the Funding shall be vested in the Administering Organisation, located on its campus and listed in its assets register unless:

(a) otherwise specified in the Proposal;
(b) the Project is terminated, in which case the ARC may, by notice in writing, require the transfer of any such item of equipment to the Commonwealth; or
(c) the Project is transferred to another organisation in accordance with clause 17, in which case, subject to the agreement of both the Administering Organisation and the recipient Eligible Organisation under that clause, the equipment purchased with Funds provided under this Agreement for the relevant Project may be transferred in accordance with clause 17.10.

### Intellectual Property

The Administering Organisation must adhere to an Intellectual Property policy, approved by the Administering Organisation’s governing body, which has as one of its aims the maximisation of benefits arising from research. The ARC makes no claim on the ownership of Intellectual Property brought into being as a result of the Projects for which Funding is provided.

Unless otherwise approved by the ARC, the Administering Organisation’s Intellectual Property policy referred to in clause 23.1 must comply with the National Principles of Intellectual Property Management for Publicly Funded Research as amended from time to time.

The Administering Organisation, if it is not a Commonwealth Entity which is contracting on behalf of the Commonwealth, will indemnify the Commonwealth, its officers, employees and agents against any liability, loss, damage, costs and expenses arising from any claim, suit, demand, action or proceeding by any person in respect of any infringement (or alleged infringement) of Intellectual Property rights by the Administering Organisation, its employees, agents or subcontractors in the course of, or incidental to, performing the Project or the use by the Commonwealth of reports provided by the Administering Organisation under this Agreement.

The indemnity referred to in clause 23.3 shall survive the expiration or termination of this Agreement.

### Protection of Personal Information

The Administering Organisation agrees with respect to all activities related to or in connection with the performance of the Project or in connection with this Agreement:

(a) to comply with the Australian Privacy Principles set out in Schedule 1 of the Privacy Act 1988;
(b) not to transfer personal information held in connection with this Agreement outside Australia, or to allow parties outside Australia to have access to it, without the prior approval of the Commonwealth;
(c) to cooperate with any reasonable demands or inquiries made by the Privacy Commissioner or the CEO in relation to the management of personal information by the Administering Organisation, or breaches, or alleged breaches, of privacy;
(d) to ensure that any person who has an access level which would enable that person to obtain access to any personal information (as defined in the Privacy
Act 1988) is made aware of, and undertakes in writing, to observe the Information Privacy Principles referred to in paragraph (a) above;

(e) to comply with any policy guidelines laid down by the Commonwealth or issued by the Privacy Commissioner from time to time relating to the handling of personal information;

(f) to comply with any reasonable direction of the CEO to observe any recommendation of the Privacy Commissioner relating to any acts or practices of the Administering Organisation that the Privacy Commissioner considers to be a breach of the obligations in paragraph (a) above;

(g) to comply with any reasonable direction of the CEO to provide the Privacy Commissioner access for the purpose of monitoring the Administering Organisation’s compliance with this clause;

(h) to ensure that any record (as defined in the Privacy Act 1988) containing personal information provided to the Administering Organisation by the Commonwealth or any other person pursuant to this Agreement is, at the expiration or earlier termination of this Agreement, either returned to the ARC or deleted or destroyed in the presence of a person authorised by the ARC to oversee such deletion or destruction; and

(i) to the naming or other identification of the Administering Organisation in reports by the Privacy Commissioner.

24.2 The Administering Organisation must immediately notify the ARC if the Administering Organisation becomes aware of a breach of its obligations under clause 24.1.

24.3 This clause survives the expiration or earlier termination of this Agreement.

25. Confidentiality

25.1 Subject to clause 25.2, the ARC agrees not to disclose any Confidential Information of the Administering Organisation, without the Administering Organisation’s consent.

25.2 The ARC will not be taken to have breached its obligations under clause 25.1 to the extent that the ARC discloses Confidential Information:

(a) to its officers, employees, agents, external professional advisers or contractors solely to comply with obligations, or to exercise rights, under this Agreement;

(b) to its internal management personnel solely to enable effective management or auditing of this Agreement or the National Competitive Grants Program or the Scheme;

(c) for a purpose directly related to the enforcement or investigation of a possible breach of any Commonwealth, State, Territory or local law;

(d) to the Minister, or in response to a demand by a House or a Committee of the Commonwealth Parliament;

(e) within the ARC, the Department or another government agency or authority, where this serves the ARC’s, the Department’s or the Commonwealth’s legitimate interests;

(f) as required or permitted by any other law, or an express provision of this Agreement, to be disclosed; or

(g) that is in the public domain other than due to a breach of this clause 25.
25.3 The Commonwealth warrants that, for a period of three years from the date of submission of the Final Report for the Project it will consult with the Administering Organisation before any information which is contained in any reports related to the Project and which the Administering Organisation has indicated is confidential and should not be disclosed, is disclosed to any person other than an officer, employee, agent or member of the ARC or the Minister. If disclosure is required to other persons, the Commonwealth will discuss the intended terms of disclosure with the Administering Organisation.

26. **Acknowledgments, Publications and Publicity**

26.1 Subject to commercial sensitivities and Intellectual Property considerations, the outcomes of Projects are expected to be communicated to the research community and, where appropriate and possible, to the community at large.

26.2 When, at any time during or after completion of a Project, the Administering Organisation or the researchers or any other party publishes, produces or is involved in promotional material, such as books, articles, television or radio programs, electronic media, newsletters or other literary or artistic works which relate to the Project and/or Future Fellowship, the Administering Organisation must ensure (wherever possible) that the ARC’s contribution and support of the Project is acknowledged in a prominent place and an appropriate form acceptable to the ARC. Similar efforts should be made when publicly speaking about a Project. Advice of acceptable forms of acknowledgement and use of the logo is provided on the ARC website. With respect to publications, where ARC support is acknowledged, the relevant grant number should be included.

26.3 This clause survives the expiration or earlier termination of this Agreement.

27. **Administration of the Funding**

27.1 The Administering Organisation must maintain reasonable, up-to-date and accurate records relating to the Funding in general, and the Projects conducted with the Funding in particular, to verify its compliance with this Agreement.

27.2 Records maintained under clause 27.1 must be retained by the Administering Organisation for each Project for a period of no less than seven years after whichever is the later of:

(a) the final payment of Funds by the ARC for the Project; or  
(b) the final approved carryover of Funds for the Project.

28. **Audit and Monitoring**

28.1 The Administering Organisation is responsible for monitoring the expenditure of the Funding and certifying to the ARC that the Funding has been expended in accordance with this Agreement in the End of Year Report. If at any time, in the opinion of the Responsible Officer of the Administering Organisation, the Funding is not being expended in accordance with this Agreement, the Administering Organisation must take all action necessary to minimise further expenditure in relation to the Project and inform the ARC immediately.

28.2 The ARC may at any time conduct ad hoc on-site reviews to ensure that the terms of this Agreement are being, or were, met and that reports submitted to the ARC are an accurate statement of compliance by the Administering Organisation. Persons nominated by the ARC to conduct these reviews are to be given full access by the Administering Organisation, if required, to all accounts, records, documents and premises in relation to the Funding and the administration of the Funds in general.

28.3 The Administering Organisation must:
(a) provide information to the Scheme Coordinator, or a person nominated by the Scheme Coordinator, as reasonably required by the Scheme Coordinator;
(b) comply with all reasonable requests, directions, or monitoring requirements received from the Scheme Coordinator; and
(c) cooperate with and assist the ARC in any review or other evaluation that the ARC undertakes.

29. **Access to Premises and Records**

29.1 The Administering Organisation must, at all reasonable times, give to the CEO or any person authorised in writing by the CEO:

(a) unhindered access to:
   i. the Administering Organisation’s employees;
   ii. premises occupied by the Administering Organisation; and
   iii. Material; and

(b) reasonable assistance to:
   i. inspect the performance of any or all Projects;
   ii. locate and inspect Material relevant to any Project or the Administering Organisation’s compliance with this Agreement or the Scheme; and
   iii. make copies of any such Material and remove those copies and use them for any purpose connected with this Agreement or the Scheme.

29.2 The access rights in clause 29.1 are subject to:

(a) the provision of reasonable prior notice by the ARC; and

(b) the Administering Organisation’s reasonable security procedures.

29.3 If a matter is being investigated which, in the opinion of the ARC, or any person authorised in writing by the CEO, may involve an actual or apprehended breach of the law, clause 29.2 will not apply.

29.4 Upon receipt of reasonable written notice from the ARC, or any person authorised in writing by the CEO, the Administering Organisation must provide any information required by the Commonwealth for monitoring and evaluation purposes.

29.5 Nothing in clauses 29.1 to 29.4 inclusive affects the obligation of each party to continue to perform its obligations under this Agreement unless otherwise agreed between them.

29.6 The Auditor-General, or a delegate of the Auditor-General for the purpose of performing the Auditor-General’s statutory functions, at reasonable times and on giving reasonable notice to the Administering Organisation, may:

(a) require the Administering Organisation to provide records and information which are directly related to this Agreement;

(b) have access to the premises of the Administering Organisation for the purposes of inspecting and copying documentation and records, however stored, in the custody or under the control of the Administering Organisation which are directly related to this Agreement; and

(c) where relevant, inspect any Commonwealth Assets and Commonwealth Material held on the premises of the Administering Organisation.

29.7 This clause survives the expiration or earlier termination of this Agreement.
30. **Reporting Requirements**

30.1 The Administering Organisation must submit the following reports and statement, in accordance with this Agreement and the ARC Act, in the format required by the ARC, if specified.

30.2 **End of Year Report**

(a) The Administering Organisation must submit an End of Year Report by 31 March in the year following each calendar year for which the Funding was awarded, in accordance with the instructions to be provided by the ARC each year. The first End of Year Report for funding commencing in 2014 is due by 31 March 2015. The form for this report will be made available by the ARC in RMS, with instructions on the ARC website.

(b) The End of Year Report will contain information on all expenditure for that year under the Project on a Project-by-Project basis, including:
   i. any unspent Funds to be recovered by the Commonwealth;
   ii. any unspent Funds that the Administering Organisation is seeking to have carried over into the next year; and
   iii. the reasons why the unspent Funds are required to be carried over.

(c) Under paragraph 58(1)(e) of the ARC Act, Funds provided by the Commonwealth to the Administering Organisation which are not spent during the year of the Funding Period to which those Funds were allocated may be carried over if approved by the ARC. If the Administering Organisation wishes any Funds to be carried over from one year to the next year, the Administering Organisation must request this approval in the End of Year Report for the calendar year for which those Funds were initially paid.

(d) All unspent funds are to be reported to the ARC. If a carryover is requested it must include all unspent funds allocated to the Project even if they are not located at the Administering Organisation.

(e) Where a carryover is requested for 75 per cent or more of the Funds allocated for a calendar year (excluding any Funds carried over from the previous calendar year), separate written justification must be provided.

(f) Funds may be carried over more than twelve months only in exceptional circumstances and subject to approval by the ARC. Separate written justification must be provided in this instance.

30.3 **Progress Report**

(a) Report by Exception. For all years except the final year. A Progress Report must only be submitted if significant issues are affecting the progress of the Project. The report must specify the actions being taken to address the issues. When required the Administering Organisation must provide a Progress Report in respect of each affected ongoing Project by 31 March of each year, except in the first year of Funding, on a form which will be made available by the ARC. For the purpose of clarification, if a report by exception is required the initial Progress Report is due 31 March 2016.

(b) If the ARC is not satisfied with the progress of any Project, further payment of Funds will not be made until satisfactory progress has been made on the Project. If satisfactory progress is still not achieved within a reasonable period of time, the Funding may be terminated and all outstanding monies will be recovered by the ARC.
(c) Unsatisfactory progress on any Project may be noted against any further proposals under any ARC scheme submitted on behalf of, the Future Fellow and will be taken into account in the assessment of those proposals.

30.4 Final Report

(a) Unless otherwise approved by the ARC, the Administering Organisation must ensure that Final Reports are provided for each Project within twelve months of the final payment of Funds by the ARC for the Project or within twelve months of the final carryover of Funds approved by the ARC, whichever is the later. The form for this report will be made available by the ARC in RMS, with instructions on the ARC website. The ARC may review the outcomes against the objective(s) of the Project as stated in the Proposal or any approved revised budget, aims and research plan.

(b) The Final Report must justify why any publications from a Project have not been deposited in an open access institutional repository within twelve months of publication. The Final Report must outline how data arising from the Project have been made publicly accessible where appropriate.

(c) The ARC may also seek additional information about subsequent outputs and outcomes after submission of the Final Report.

(d) If a Final Report is considered by the ARC to be inadequate or is not submitted on time, the Administering Organisation of the Project may be contacted for further information. If the ARC is not satisfied with the outcomes of the Project, this may be noted against any further proposals under any ARC scheme submitted on behalf of the Future Fellow, and may be taken into account in the assessment of those proposals.

(e) Proposals submitted under any ARC scheme by or on behalf of any Future Fellow on a Project for which the Final Report is outstanding may be deemed ineligible for approval of funding.

30.5 This clause survives the expiration or earlier termination of this Agreement.

31. Australian Research Integrity Committee

31.1 The Administering Organisation must provide to the Australian Research Integrity Committee (ARIC) upon request, any documentation and information concerning allegations of its mishandling of internal reviews into alleged cases of research misconduct, and/or non-compliance with the NHMRC/ARC/UA Australian Code for the Responsible Conduct of Research (2007).

32. Copyright in Proposals and Reports

32.1 Copyright in any Proposal and all reports provided under this Agreement will vest in the Administering Organisation at the time of creation but the Administering Organisation grants to the Commonwealth a permanent, irrevocable, royalty-free, non-exclusive licence to use and reproduce information contained in a Proposal or a report and publish it on a non-profit basis for any purpose related to:

(a) the evaluation and assessment of Proposals;

(b) verifying the accuracy, consistency and adequacy of information contained in a Proposal, or otherwise provided to the ARC;

(c) the preparation and management of any funding agreement;
(d) the administration, auditing, management or evaluation of the National Competitive Grants Program or any funding scheme administered by the ARC;

(e) the sharing of information by the ARC within the ARC’s organisation, or with another Commonwealth Department or agency, or Commonwealth Minister or parliamentary committee, where this serves the Commonwealth’s legitimate interests; or

(f) where the use, reproduction or publication of the material is authorised or required by law.

32.2 If a Proposal or a report contains information belonging to a third party, the Administering Organisation must ensure that it has in place all necessary consents sufficient to allow the ARC to deal with the information or any report in accordance with this Agreement.

32.3 This clause survives the expiration or earlier termination of this Agreement.

33. Recovery of Unspent Funds or Overpayments of Funds

33.1 Any unspent Funds may be recovered by the Commonwealth under paragraph 58(1)(c) of the ARC Act. Any amount of Funding paid to the Administering Organisation which exceeds the amount of financial assistance that is properly payable to it may be recovered under paragraph 58(1)(d) of the ARC Act.

33.2 The Commonwealth may offset the unspent or overpaid Funds against the total of any further Funds payable to the Administering Organisation.

33.3 This clause survives the expiration or earlier termination of this Agreement.

34. Indemnity

34.1 The Administering Organisation, if it is not a Commonwealth Entity which is contracting on behalf of the Commonwealth, will indemnify the Commonwealth, its officers, employees and agents against any liability, loss, damage, costs and expenses arising from any claim, suit, demand, action or proceeding by any person, where such loss or liability was caused by a wilful, unlawful or negligent act or omission of the Administering Organisation, its employees, agents or subcontractors in connection with this Agreement.

34.2 The Administering Organisation’s liability to indemnify the Commonwealth under clause 34.1 shall be reduced proportionally to the extent that any act or omission of the Commonwealth or its employees or agents contributed to the loss or liability.

34.3 The indemnity referred to above shall survive the expiration or termination of this Agreement.

35. Insurance

35.1 The Administering Organisation must effect and maintain adequate insurance or similar coverage to cover any liability arising as a result of its participation in Future Fellowships Projects and, if requested, provide the Commonwealth with a copy of the relevant policies or when appropriate Certificate of Currency. The Administering Organisation will be responsible for effecting all insurances required under workers’ compensation legislation and for taking all other action required as an employer.

36. Dispute Resolution

36.1 The Parties agree not to commence any legal proceedings in respect of any dispute arising under this Agreement until the procedure provided by this clause 36 has been followed.
36.2 The Parties agree that the following procedure will be used in an expeditious way to resolve a dispute:

(a) the Party claiming there is a dispute will notify the other Party in writing, setting out the nature of the dispute;

(b) the Parties will try to resolve the dispute through direct negotiation, including by referring the matter to persons who have authority to intervene and direct some form of resolution;

(c) the Parties have ten business days from the date of the notice to reach a resolution or to agree that the dispute is to be submitted to mediation or some alternative dispute resolution procedure;

(d) if:
   i. there is no resolution of the dispute;
   ii. there is not agreement on submission of the dispute to mediation or some alternative dispute resolution procedure; or
   iii. there is a submission to mediation or some other form of alternative dispute resolution procedure, but there is no resolution within 30 business days of the submission, or such extended time as the Parties may agree in writing before the expiration of the 30 business days;

then, either Party may commence legal proceedings.

36.3 This clause 36 does not apply to action that the Commonwealth takes, or purports to take, under clause 5, 33 or 37 or where a Party commences legal proceedings for urgent interlocutory relief.

36.4 Despite the existence of the dispute, the Administering Organisation must (unless requested in writing by the Commonwealth not to do so) continue to perform its obligations under this Agreement.

37. Termination of the Agreement

37.1 The ARC may immediately terminate Funding for a Project by notice in writing to the Administering Organisation if:

(a) the Administering Organisation commits any breach of this Agreement which the Commonwealth considers is not capable of remedy;

(b) in the opinion of the ARC, progress on the Project is not satisfactory;

(c) the ARC reasonably believes that it has received inaccurate, incomplete or misleading information in relation to that Project, including in the Proposal or in any report provided under this Agreement;

(d) the ARC reasonably believes there is fraud or misleading or deceptive conduct on the part of the Administering Organisation or the Future Fellow;

(e) the Administering Organisation fails to comply with any additional ARC requirement or condition notified by the ARC under clause 3.2;

(f) the ARC receives notice that work on the Project will cease, or has ceased;

(g) the Administering Organisation is unable to continue its role for any reason;

(h) the Commonwealth terminates its commitment in the event of a change of government policy or other related government requirements.

37.2 If the ARC terminates Funding for a Project under clause 37.1:
(a) the Administering Organisation must immediately take all available and reasonable steps to stop any further expenditure of Funding on the Project;

(b) the Administering Organisation must provide the reports required by this Agreement unless otherwise specified by the Commonwealth; and

(c) the ARC may recover all or any Funding for the Project in accordance with clause 5.3.

37.3 Any amount notified to the Administering Organisation as payable under clause 37.2(c) is a debt due to the ARC (without further proof of the debt being necessary), payable within 30 days of the date of the notice.

37.4 Subject to clause 22.4, after the expiration or termination of this Agreement the Administering Organisation retains ownership of all Assets purchased using the Funds.

38. **Compliance with Law**

38.1 The Administering Organisation shall in carrying out this Agreement comply with the provisions of any relevant statutes, regulations, by-laws, and requirements of any Commonwealth, State, Territory or local authority.

38.2 The Administering Organisation acknowledges that:

(a) Chapter 7 of the *Criminal Code Act 1995* provides for offences which attract substantial penalties, including theft of Commonwealth property and other property offences, obtaining property or financial advantage by deception, offences involving fraudulent conduct, bribery, forgery and falsification of documents;

(b) it is aware that giving false or misleading information is a serious offence under the *Criminal Code Act 1995*;

(c) the publication or communication of any fact or document by a person which has come to her/his knowledge or into her/his possession or custody by virtue of the performance of this Agreement (other than a person to whom the Administering Organisation is authorised to publish or disclose that fact or document) may be an offence under section 70 of the *Crimes Act 1914*, punishment for which may be a maximum of two years imprisonment;

(d) in respect of data, including personal information, held in connection with this Agreement, any unauthorised and intentional access, destruction, alteration, addition or impediment to access or usefulness of the data stored in any computer in the course of performing this Agreement is an offence under Part 10.7 of the *Criminal Code Act 1995* which may attract a substantial penalty, including imprisonment;

(e) it is aware of the provisions of section 79 of the *Crimes Act 1914* relating to official secrets;

(f) it is aware of its obligations under Part 4 of the *Charter of United Nations Act 1945* and the *Charter of United Nations (Terrorism and Dealing with Assets) Regulations 2002*;

(g) it may have obligations under the *Workplace Gender Equality Act 2012* and it must comply with those obligations; and

(h) it is aware of its obligations under relevant work, health and safety laws.

38.3 The Administering Organisation undertakes with respect to any officer, employee, agent or subcontractor who will have access to documents, materials or information within the
meaning of section 79 of the Crimes Act 1914 that prior to having access the officer, employee, agent and subcontractor will first be required by the Administering Organisation to provide the Administering Organisation with an acknowledgment that the officer, employee, agent or subcontractor is aware of the provisions of the section.


38.4 The Administering Organisation must ensure that in performing the obligations under and arising from this Agreement, all necessary precautions for the health and safety of all persons have been identified and implemented.

38.5 The Administering Organisation must ensure that all officers, employees, agents and subcontractors in performing the obligations under and arising from this Agreement comply with any security and safety requirements of which they are or should be reasonably aware.

39. Research special conditions

39.1. Importation of experimental organisms: The Administering Organisation must ensure that, before experimental organisms are imported into Australia for the purposes of a Project, the Administering Organisation or the Future Fellow of the Project must obtain agreement in principle for the importation from the appropriate Commonwealth and State authorities.

39.2. Research involving humans or other animals: Any Project conducted by the Administering Organisation including research on or involving humans or other animals, the Administering Organisation must ensure that the codes adopted for these purposes by the National Health and Medical Research Council, Australian Research Council, and Universities Australia are complied with. The Project may not commence without clearance from the Administering Organisation’s Ethics or Biosafety Committee (or equivalent) and from any other relevant authority.

39.3. Deposition of biological materials: Any biological material accumulated during the course of a Project shall be transferred to an Australian body with statutory responsibility for control of such material. If no such body is available to take control of the biological material then the Administering Organisation must dispose of the material in accordance with the Administering Organisation’s established safeguards.

39.4. Genetic manipulation: If a Project involves the use of gene technology (as defined in the Gene Technology Act 2000), then before the proposed research commences, the Administering Organisation must ensure that the research has been approved in writing by the relevant Biosafety and/or Ethics Committees (or equivalent) of the Administering Organisation. The Administering Organisation must retain all certificates relating to the above and will provide evidence to the Scheme Coordinator if required to do so. The Administering Organisation must be accredited with the Office of the Gene Technology Regulator.

39.5. Ionising radiation: If a Project involves the use of ionising radiation, the Administering Organisation shall ensure that any personnel performing procedures involving ionising radiation are appropriately trained and hold a relevant current licence from the appropriate State authority. The Administering Organisation must retain all such licences and shall provide them to the Scheme Coordinator if required to do so.

39.6. Social science data sets: Any digital data arising from a Project involving research
relating to the social sciences should be lodged with the Australian Social Science Data Archive (ASSDA) for secondary use by other investigators. This should normally be done within two years of the conclusion of any fieldwork relating to the Project research. If a Future Fellow is not intending to do so within the two-year period, he/she should include the reasons in the Project’s Final Report.

40. **Liaison**

40.1 Researchers should direct all queries regarding ARC Funding to their Administering Organisation’s Research Office in the first instance. All communications from the Administering Organisation to the ARC, or the Minister, relating to the Funding should be made through the Responsible Officer of the Administering Organisation and should be directed to the Scheme Coordinator at the following address:

Post-award team  Phone:  02 6287 6600  
Australian Research Council  Fax:  02 6287 6638

*Postal address*  
GPO Box 2702  
CANBERRA  ACT  2601

Post-award enquiries and report submission  
Email: [ARC-Postaward@arc.gov.au](mailto:ARC-Postaward@arc.gov.au)

41. **Applicable Law**

41.1 This Agreement will be governed by and interpreted in accordance with the laws of the Australian Capital Territory and the Parties submit to the non-exclusive jurisdiction of the courts of that Territory.
SCHEDULE A
Details of Future Fellowships to receive Funding from the Commonwealth
SCHEDULE B

ARC notional awards and other allowances

B1. ARC notional Future Fellowship salaries for funding commencing in 2014

The figures in the table below are based on the 2014 levels of Funding and will be subject to variation (for example, due to annual indexation). Updated levels will be available on the ARC website at www.arc.gov.au/applicants/salaries.htm.

<table>
<thead>
<tr>
<th>Future Fellowship Salary Levels</th>
<th>Salary</th>
<th>On-costs 28%</th>
<th>Total</th>
</tr>
</thead>
<tbody>
<tr>
<td>Future Fellowship Level 1 (1.0 FTE)</td>
<td>$111,739</td>
<td>$31,287</td>
<td>$143,026</td>
</tr>
<tr>
<td>Future Fellowship Level 2 (1.0 FTE)</td>
<td>$135,264</td>
<td>$37,874</td>
<td>$173,138</td>
</tr>
<tr>
<td>Future Fellowship Level 3 (1.0 FTE)</td>
<td>$158,787</td>
<td>$44,461</td>
<td>$203,248</td>
</tr>
</tbody>
</table>
IN WITNESS WHEREOF the parties have agreed to this Agreement on the date first above written.

SIGNED for and on behalf of  

THE COMMONWEALTH OF AUSTRALIA  

by  ..................................................  )  ..................................................  )

insert name of signatory above  )  signatory to sign above  )

the  ..................................................  )  

insert signatory’s title above  )  
of the Australian Research Council  )  

In the Presence of:  

............................................  )  ..................................................  )

insert name of witness above  )  witness to sign above  )

SIGNED for and on behalf of  

<<Administering Organisation>>  

by  ..................................................  )  ..................................................  )

insert name of signatory above  )  signatory to sign above  )

the  ..................................................  )  

insert signatory’s title above  )  
of the said Administering Organisation who,  )  

by signing, certifies that he/she has the authority  )  

so to sign  )  

In the Presence of:  

............................................  )  ..................................................  )

insert name of witness above  )  witness to sign above  )